

<b>AGENCY INFORMATION SECURITY PROGRAM DATA</b>				1. PERIOD COVERED				INTERAGENCY REPORT CONTROL NUMBER  <b>0230-GSA-AN</b>					
				A. FROM 10/1/94		B. TO 09/30/95							
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT  U.S. NUCLEAR REGULATORY COMMISSION				3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) A. Lynn Silvius, Chief 301-415-2214 Information Security Branch Division of Security Office of Administration									
4. SENIOR OFFICIAL (Section 5.3, E.O. 12356) James M. Taylor Executive Director for Operations													
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES													
A. TOP SECRET  5			B. SECRET  8			C. CONFIDENTIAL  0			D. TOTAL  13 <sup>2</sup>				
6. ADDITIONAL DECLASSIFICATION AUTHORITIES													
A. TOP SECRET  0			B. SECRET  0			C. CONFIDENTIAL  0			D. TOTAL  0				
7. CLASSIFICATION DECISIONS		ORIGINAL						DERIVATIVE  Total = 44 (c)					
		DATE OR EVENT (a)			ORIGINATING AGENCY'S DETERMINATION REQUIRED (O.A.D.R.) (b) = 4								
A. TOP SECRET		0			0			0 = 0					
B. SECRET		0			0			9 = 9					
C. CONFIDENTIAL		0			4			31 = 35					
8. MANDATORY REVIEW REQUESTS AND APPEALS													
8. MANDATORY REVIEW REQUESTS AND APPEALS		CASES FOR WHICH AGENCY IS RESPONSIBLE FOR FINAL DECISION											
		CASES CARRIED OVER FROM PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	DECLASSIFICATION DECISIONS (Report in cases, documents, and pages)									CASES CARRIED OVER TO NEXT PERIOD (f)
				GRANTED IN FULL (c)			GRANTED IN PART (d)			DENIED (e)			
				CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	
A. REQUESTS		0	0	0	0	0	0	0	0	0	0	0	0
B. APPEALS		0	0	0	0	0	0	0	0	0	0	0	0
9. SYSTEMATIC REVIEW FOR DECLASSIFICATION (In pages)													
A. REVIEWED  0				B. DECLASSIFIED  0				10. NUMBER OF FORMAL INSPECTIONS, SURVEYS, OR PROGRAM REVIEWS  12					
11. NUMBER OF INFRACTIONS INVOLVING:													
A. OVERCLASSIFICATION  0			B. UNDERCLASSIFICATION  0			C. CLASSIFICATION WITHOUT AUTHORITY  0			D. MISMARKING  0				
E. IMPROPER DESTRUCTION  0		F. UNAUTHORIZED ACCESS  0		G. IMPROPER STORAGE  9		H. IMPROPER REPRODUCTION  0		I. IMPROPER TRANSMISSION  1		J. OTHER (Elaborate under Item 12)  0			
12. REMARKS													

(IMPORTANT - Read instructions on reverse before completing this form)

OMB NO. 3090-0049

<b>AGENCY INFORMATION SECURITY PROGRAM DATA</b>				1. PERIOD COVERED				INTERAGENCY REPORT CONTROL NUMBER					
				A. FROM 10/1/95		B. TO 9/30/96		0230-GSA-AN					
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT U.S. NUCLEAR REGULATORY COMMISSION				3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) A. LYNN SILVIOUS, CHIEF (301) 415-2214 INFORMATION SECURITY BRANCH DIVISION OF SECURITY OFFICE OF ADMINISTRATION									
4. SENIOR OFFICIAL (Section 6.3, E.O. 12356) JAMES M. TAYLOR EXECUTIVE DIRECTOR FOR OPERATIONS													
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES													
A. TOP SECRET 5			B. SECRET 8			C. CONFIDENTIAL 0			D. TOTAL 13				
6. ADDITIONAL DECLASSIFICATION AUTHORITIES													
A. TOP SECRET			B. SECRET			C. CONFIDENTIAL			D. TOTAL				
7. CLASSIFICATION DECISIONS			ORIGINAL						DERIVATIVE (c)				
			DECLASSIFY IN 10 YEARS OR LESS (a)			EXEMPT FROM DECLASSIFICATION IN 10 YEARS OR LESS (b)							
A. TOP SECRET			0			0			0				
B. SECRET			1 ✓			0			4				
C. CONFIDENTIAL			4 ✓ 5			0			17 = 21				
8. MANDATORY REVIEW REQUESTS AND APPEALS													
8. MANDATORY REVIEW REQUESTS AND APPEALS		CASES CARRIED OVER FROM PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	DECLASSIFICATION DECISIONS (Report in cases, documents, and pages)									CASES CARRIED OVER TO NEXT PERIOD (f)
				GRANTED IN FULL (c)			GRANTED IN PART (d)			DENIED (e)			
				CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	
A. REQUESTS		0	0	0	0	0	0	0	0	0	0	0	
B. APPEALS		0	0	0	0	0	0	0	0	0	0	0	
9. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW													
A. REVIEWED				B. DECLASSIFIED 700				10. NUMBER OF FORMAL INSPECTIONS, SURVEYS, OR PROGRAM REVIEWS 18					
11. NUMBER OF INFRACTIONS INVOLVING:													
A. OVERCLASSIFICATION			B. UNDERCLASSIFICATION			C. CLASSIFICATION WITHOUT AUTHORITY			D. MISMARKING				
E. IMPROPER DESTRUCTION			F. UNAUTHORIZED ACCESS			G. IMPROPER STORAGE			H. IMPROPER REPRODUCTION				
									I. IMPROPER TRANSMISSION				
									J. OTHER (Elaborate under item 12)				
12. REMARKS													

NOTE: Items 7 and 9 have been revised to reflect the changes outlined in EO 12958.  
Enclosed is a status report on NRC's Declassification Program.

<b>(IMPORTANT — Read instructions on reverse before completing this form)</b>				<b>INTERAGENCY REPORT CONTROL NUMBER 0230-NAR-AN</b>										
<b>AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA</b>				<b>1. FISCAL YEAR COVERED</b>										
<b>2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT</b>  U. S. Nuclear Regulatory Commission			<b>3. CONTACT FOR ADDITIONAL INFORMATION</b> <i>(Name, office and telephone no.)</i>  A. Lynn Silvious, Chief Information Security Branch Division of Facilities and Security Office of Administration (301) 415-2214											
<b>4. SENIOR AGENCY OFFICIAL</b> <i>(Section 5.6, E.O. 12958)</i> Patricia G. Norry, Deputy Executive Director for Management Services Office of Executive Director for Operations														
<b>5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES</b>														
<b>A. TOP SECRET</b>  <div style="text-align: center;">7</div>		<b>B. SECRET</b>  <div style="text-align: center;">7</div>		<b>C. CONFIDENTIAL</b>  <div style="text-align: center;">0</div>		<b>D. TOTAL</b>  <div style="text-align: center;">14</div>								
<b>6. NUMBER OF CLASSIFICATION DECISIONS</b>														
<b>CLASSIFICATION LEVEL</b>		<b>ORIGINAL CLASSIFICATION</b>			<b>DERIVATIVE</b>									
		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center; padding: 5px;"> <b>DECLASSIFY IN 10 YEARS OR LESS (a)</b> </td> <td colspan="2" style="text-align: center; padding: 5px;"> <b>EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)</b> </td> </tr> <tr> <td style="text-align: center; padding: 5px;">A. TOP SECRET</td> <td colspan="2" style="text-align: center; padding: 5px;">0</td> </tr> <tr> <td style="text-align: center; padding: 5px;">B. SECRET</td> <td colspan="2" style="text-align: center; padding: 5px;">2</td> </tr> <tr> <td style="text-align: center; padding: 5px;">C. CONFIDENTIAL</td> <td colspan="2" style="text-align: center; padding: 5px;">9</td> </tr> </table>					<b>DECLASSIFY IN 10 YEARS OR LESS (a)</b>	<b>EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)</b>		A. TOP SECRET	0		B. SECRET	2
<b>DECLASSIFY IN 10 YEARS OR LESS (a)</b>	<b>EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)</b>													
A. TOP SECRET	0													
B. SECRET	2													
C. CONFIDENTIAL	9													
A. TOP SECRET		0			0									
B. SECRET		2			14									
C. CONFIDENTIAL		9			170									
<b>7. MANDATORY REVIEW REQUESTS</b>														
<b>CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)</b>		<b>NEW CASES RECEIVED (b)</b>	<b>CASES CARRIED OVER TO NEXT PERIOD (c)</b>	<b>DECLASSIFICATION DECISIONS (Report in pages)</b>										
				<b>GRANTED IN FULL (d)</b>	<b>GRANTED IN PART (e)</b>	<b>DENIED (f)</b>								
A. REQUESTS		0	0	0	0	0								
B. APPEALS		0	0	0	0	0								
<b>8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION</b> <i>(Sections 3.4 and 3.5 of EO 12958)</i>  <div style="text-align: center;">— 2*</div>				<b>9. INTERNAL AGENCY OVERSIGHT</b> Number of Formal Inspections, Surveys, or Program Reviews  <div style="text-align: center;">— 17</div>										
<b>10. EXPLANATORY COMMENTS</b> <i>(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)</i>														
*As discussed with ISOO staff, the NRC has modified our self-imposed FY 97 milestone, which was to review and release 25% of eligible documents in accordance with Section 3.4(a) of the Executive Order 12958, by October 14, 1997. Due to the numerous priorities of NRC's senior declassification authorities, our projected release date will be December 1, 1997. This date change will not affect NRC's ability to meet its deadline to accomplish the total declassification review of the agency's documents within the five year window mandated by the Order.														

<b>(IMPORTANT — Read instructions on reverse before completing this form)</b>				INTERAGENCY REPORT CONTROL NUMBER 0230-NAR-AN		
<b>AGENCY SECURITY CLASSIFICATION                  MANAGEMENT PROGRAM DATA</b>				1. FISCAL YEAR COVERED		
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT  U.S. Nuclear Regulatory Commission			3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.) (301) 415-2214 A. Lynn Silvius, Chief Information Security Branch Division of Facilities and Security Office of Administration			
4. SENIOR AGENCY OFFICIAL (Section 3.8, E.O. 12958) Patricia G. Norry, Deputy Executive Director for Management Services Office of Executive Director for Operations						
<b>5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES</b>						
A. TOP SECRET  <div style="text-align: center;">9</div>		B. SECRET  <div style="text-align: center;">9</div>		C. CONFIDENTIAL  <div style="text-align: center;">0</div>		
D. TOTAL  <div style="text-align: center;">18</div>						
<b>6. NUMBER OF CLASSIFICATION DECISIONS</b>						
CLASSIFICATION LEVEL T = 425		ORIGINAL CLASSIFICATION		DERIVATIVE T = 423		
		DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)			
A. TOP SECRET		0	0	0		
B. SECRET		0	0	40		
C. CONFIDENTIAL		2	0	383		
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	0	0	0	0	0	0
B. APPEALS	0	0	0	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)  *1500 pages				9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews  11		
<b>10. EXPLANATORY COMMENTS</b>						
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)						
*Reflects the number of pages that NRC declassified in 1997. These figures were not known at the time the SF-311 was submitted to ISOO in 1997. The number of pages declassified in 1998 will be forwarded to ISOO when these figures are known.						

(IMPORTANT - Read instructions on reverse before completing this form)				INTERAGENCY REPORT CONTROL NUMBER  0230-NAR-AN						
<b>AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA</b>				1. FISCAL YEAR COVERED  FY-99						
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT  U.S. Nuclear Regulatory Commission			3. CONTACT FOR ADDITIONAL INFORMATION (Name, office, and telephone no.) Wayne G. Burnside, Acting Chief Information Security Branch Division of Facilities and Security Office of Administration (301) 415-2211							
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958) Patricia G. Norry, Deputy Executive Director for Management Services Office of Executive Director for Operations										
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES										
A. TOP SECRET  11		B. SECRET  14		C. CONFIDENTIAL  0		D. TOTAL  25				
6. NUMBER OF CLASSIFICATION DECISIONS										
CLASSIFICATION LEVEL		ORIGINAL CLASSIFICATION			DERIVATIVE					
		DECLASSIFY IN 10 YEARS OR LESS  (a)		EXEMPT FROM DECLASSIFI- CATION IN 10 YEARS  (b)						
A. TOP SECRET		0		0		2				
B. SECRET		0		1		17				
C. CONFIDENTIAL		0		1		281				
7. MANDATORY REVIEW REQUESTS		CASES CARRIED OVER FROM THE PREVIOUS PERIOD  (a)		NEW CASES RECEIVED  (b)		CASES CARRIED OVER TO NEXT PERIOD  (c)		DECLASSIFICATION DECISIONS (Report in pages)		
						GRANTED IN FULL  (d)		GRANTED IN PART  (e)		DENIED  (f)
A. REQUESTS		0		0		0		0		0
B. APPEALS		0		0		0		0		0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)  *500 pages						9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews  9				
10. EXPLANATORY COMMENTS										
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to										
*Reflects the number of pages that NRC declassified in FY 1998. These figures were not										
known at the time the SF-311 was submitted to ISOO in October 1998. The number of pages										
declassified in FY 1999 will be forwarded to ISOO when these figures are known.										



(IMPORTANT - Read instructions on reverse before completing this form)				INTERAGENCY REPORT CONTROL NUMBER <b>0230-NAR-AN</b>	
<b>AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA</b>				1. FISCAL YEAR COVERED  <b>FY-01</b>	
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT  <b>U. S. Nuclear Regulatory Commission</b>			3. CONTACT FOR ADDITIONAL INFORMATION (Name, office, and telephone no.) <b>A. Lynn Silvius, Chief Information Security Branch Division of Facilities and Security Office of Administration (301) 415-2214</b>		
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958) <b>Patricia G. Norry, Deputy Executive Director for Management Services, Office of the Executive Director for Operations</b>					
5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES					
A. TOP SECRET  <div style="text-align: right;">8</div>	B. SECRET  <div style="text-align: right;">9</div>	C. CONFIDENTIAL  <div style="text-align: right;">0</div>	D. TOTAL  <div style="text-align: right;">17</div>		
6. NUMBER OF CLASSIFICATION DECISIONS					
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE		
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFI- CATION IN 10 YEARS (b)			
A. TOP SECRET	0	0	2		
B. SECRET	0	2	13		
C. CONFIDENTIAL	0	0	351		
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)	
				GRANTED IN FULL (d)	GRANTED IN PART (e)
				DENIED (f)	
A. REQUESTS	0	1	0	8	1
B. APPEALS	0	0	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958) *500 pages			9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews 10		
10. EXPLANATORY COMMENTS					
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to					
*This is an estimation. The exact figures are not known at this time. The actual number of pages declassified in FY 01 will be					
forwarded to ISOO when these figures are known.					

Need to follow up on pages declassified

(IMPORTANT - Read instructions on reverse before completing this form)

INTERAGENCY REPORT  
CONTROL NUMBER  
0230-NAR-AN

AGENCY SECURITY CLASSIFICATION  
MANAGEMENT PROGRAM DATA

1. FISCAL YEAR COVERED

FY-02

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT

U.S. Nuclear Regulatory Commission

4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)

William F. Kane, Deputy Executive Director for Reactor  
Programs, Office of the Executive Director for Operations

3. CONTACT FOR ADDITIONAL INFORMATION (Name, office, and telephone no.)

A. Lynn Silvius, Chief

Information Security Section

Division of Nuclear Security

Office of Nuclear Security and Incident Response  
(301) 415-2214

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET

8

B. SECRET

8

C. CONFIDENTIAL

0

D. TOTAL

16

6. NUMBER OF CLASSIFICATION DECISIONS

ORIGINAL CLASSIFICATION

CLASSIFICATION  
LEVEL

DECLASSIFY IN 10 YEARS  
OR LESS

(a)

EXEMPT FROM DECLASSIFI-  
CATION IN 10 YEARS

(b)

DERIVATIVE

A. TOP SECRET

0

0

5

B. SECRET

0

2

147

C. CONFIDENTIAL

0

0

378

7. MANDATORY  
REVIEW  
REQUESTS

CASES  
CARRIED  
OVER FROM  
THE PREVIOUS  
PERIOD

(a)

NEW CASES  
RECEIVED

(b)

CASES  
CARRIED  
OVER TO  
NEXT  
PERIOD

(c)

DECLASSIFICATION DECISIONS  
(Report in pages)

GRANTED IN  
FULL

(d)

GRANTED IN  
PART

(e)

DENIED

(f)

A. REQUESTS

0

0

0

0

0

0

B. APPEALS

0

0

0

0

0

0

8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC  
REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)

\*500 pages

9. INTERNAL AGENCY OVERSIGHT

Number of Formal Inspections, Surveys, or Program Reviews

3

10. EXPLANATORY COMMENTS

(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to

\*This is an estimation. The exact number is not known at this time.



(IMPORTANT - Read instructions on reverse before completing this form)				INTERAGENCY REPORT CONTROL NUMBER <b>0230-NAR-AN</b>		
<b>AGENCY SECURITY CLASSIFICATION          MANAGEMENT PROGRAM DATA</b>				1. FISCAL YEAR COVERED  <div style="text-align: right;">FY-03</div>		
2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT <b>United States Nuclear Regulatory Commission</b>			3. CONTACT FOR ADDITIONAL INFORMATION (Name, office, and telephone no.) <b>A.Lynn Silvious, Chief          Information Security Section          Division of Nuclear Security          Office of Nuclear Security and Incident Response          301-415-2214</b>			
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958) <b>William F. Kane, Dep. Exec. Dir. for Homeland Protection and          Preparedness, Office of the Executive Director for Operations</b>			5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES			
A. TOP SECRET  <div style="text-align: right;">7</div>	B. SECRET  <div style="text-align: right;">9</div>	C. CONFIDENTIAL  <div style="text-align: right;">0</div>	D. TOTAL  <div style="text-align: right;">16</div>			
<b>6. NUMBER OF CLASSIFICATION DECISIONS</b>						
CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE			
	DECLASSIFY IN 10 YEARS OR LESS  (a)	EXEMPT FROM DECLASSIFI- CATION IN 10 YEARS  (b)				
A. TOP SECRET	0	1	7			
B. SECRET	0	3	56			
C. CONFIDENTIAL	0	1	195			
7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD  (a)	NEW CASES RECEIVED  (b)	CASES CARRIED OVER TO NEXT PERIOD  (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL  (d)	GRANTED IN PART  (e)	DENIED  (f)
A. REQUESTS	0	0	0	0	0	0
B. APPEALS	0	0	0	0	0	0
8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958) <b>*1000</b>			9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews <b>11</b>			
<b>10. EXPLANATORY COMMENTS</b>						
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to						
*This is an estimation. The exact figures are not known at this time. The actual number of pages declassified in FY 03 will be						
forwarded to ISOO as soon as they are known.						

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

## PART A: Identifying Information

1. Enter the Fiscal Year that this report covers.

FY-2004

2. Identify the Department, Independent agency or Establishment that is covered by this report.

United States Nuclear Regulatory Commission

3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.

William F. Kane, Director for Homeland Protection and Preparedness, Office of the Executive Director for Operations  
11555 Rockville Pike, Mailstop OWFN 16-E 15 Rockville, MD 20852  
301-415-1713 WFK@NRC.GOV

4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report.

A. Lynn Silivious, Chief  
Information Security Section  
Division of Nuclear Security  
Office of Nuclear Security and Incident Response  
301-415-2214 ALS@NRC.GOV

## PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.

5. 11

6. Enter the number of agency officials whose highest level of original classification authority is SECRET.

6. 9

7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.

7. 0

8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)

8. 20

## PART C: Original Classification Decisions

Original classification is an initial determination that information requires protection in the interest of national security. It is based on the following conditions: (1) the information is owned by, produced by or for, or is under the control of the United States Government; (2) the information falls into at least one of the categories found in Section 1.4 of E.O. 12958, as amended; and (3) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.)

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.

9. 0

10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

10. 0

11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)

11. 0

12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.

12. 0

13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

13. 0

14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)

14. 0

15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.

15. 0

16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

16. 1

17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)

17. 1

18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)

18. 1

## PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.)

19. Enter the number of derivative TOP SECRET classifications during the reporting period.

19. 7

20. Enter the number of derivative SECRET classifications during the reporting period.

20. 73

21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.

21. 145

22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)

22. 225

Enter the Fiscal Year that this report covers.

FY-2004

Identify the Department, Independent agency or establishment that is covered by this report.  
United States Nuclear Regulatory Commission

#### PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of requests carried over from the previous reporting period.	23. 0
24. Enter the number of new requests received during the reporting period.	24. 0
25. Enter the number of requests carried over to the next reporting period.	25. 0
26. Enter the number of appeals carried over from the previous reporting period.	26. 0
27. Enter the number of new appeals received during the reporting period.	27. 0
28. Enter the number of appeals carried over to the next reporting period.	28. 0

#### PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.	29. 0
30. Enter the number of requested pages that were declassified in part.	30. 0
31. Enter the number of requested pages that were denied declassification.	31. 0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32. 0
33. Enter the number of appealed pages that were declassified in full.	33. 0
34. Enter the number of appealed pages that were declassified in part.	34. 0
35. Enter the number of appealed pages that were denied declassification.	35. 0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36. 0

#### PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.	37. 15,000
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38. 1,000

#### PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39. 10
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40. 0

#### PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

#### Part G: Automatic Declassification and Systematic Review

37. The number of pages reviewed reflect numerous documents that contain other agencies equities.

Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

5. Enter the number of agency officials whose highest level of original classification authority is <b>TOP SECRET</b> .	5.	7
6. Enter the number of agency officials whose highest level of original classification authority is <b>SECRET</b> .	6.	7
7. Enter the number of agency officials whose highest level of original classification authority is <b>CONFIDENTIAL</b> .	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)		

Original classification is an initial determination that the information is classified in accordance with a statutory classification authority, is also marked with conditions of its classification by a national classification authority, (2) the information is directly or indirectly related to the national security of the United States Government, (3) the information is of a type that is reasonably expected to be in the possession of, or controlled by, an unauthorized person, and (4) the unauthorized disclosure of the information is expected to result in damage to the national security. Information that is not classified in accordance with a statutory classification authority is not classified information. Information that is classified in accordance with a statutory classification authority is classified information.

9. Enter the number of original <b>TOP SECRET</b> classification decisions made during the reporting period with declassification instructions of 10 years or less.	9.	0
10. Enter the number of original <b>TOP SECRET</b> classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10.	0
11. Total number of <b>TOP SECRET</b> original classification decisions. (Sum of blocks 9 & 10)		
12. Enter the number of original <b>SECRET</b> classification decisions made during the reporting period with declassification instructions of 10 years or less.	12.	0
13. Enter the number of original <b>SECRET</b> classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13.	1
14. Total number of <b>SECRET</b> original classification decisions. (Sum of blocks 12 & 13)		
15. Enter the number of original <b>CONFIDENTIAL</b> classification decisions made during the reporting period with declassification instructions of 10 years or less.	15.	0
16. Enter the number of original <b>CONFIDENTIAL</b> classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16.	0
17. Total number of <b>CONFIDENTIAL</b> original classification decisions. (Sum of blocks 15 & 16)		
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)		

[illegible]

19. Enter the number of derivative <b>TOP SECRET</b> classifications during the reporting period.	19	8
20. Enter the number of derivative <b>SECRET</b> classifications during the reporting period.	20.	57
21. Enter the number of derivative <b>CONFIDENTIAL</b> classifications during the reporting period.	21.	90
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)		

FY-2005

Identify the Department, independent agency or establishment that is covered by this report.  
United States Nuclear Regulatory Commission

Report only requests for full text. Do not include the number of full text requests in the number of records searched.

23. Enter the number of <b>requests carried over</b> from the <b>previous</b> reporting period.	23.	0
24. Enter the number of <b>new requests</b> received during the reporting period.	24.	0
25. Enter the number of <b>requests carried over</b> to the <b>next</b> reporting period.	25.	0
26. Enter the number of <b>appeals carried over</b> from the <b>previous</b> reporting period.	26.	0
27. Enter the number of <b>new appeals</b> received during the reporting period.	27.	0
28. Enter the number of <b>appeals carried over</b> to the <b>next</b> reporting period.	28.	0

Page 1 of 1

29. Enter the number of <b>requested pages</b> that were declassified in full.	29. 0
30. Enter the number of <b>requested pages</b> that were declassified in part.	30. 0
31. Enter the number of <b>requested pages</b> that were <b>denied</b> declassification.	31. 0
32. Total number of <b>requested pages</b> acted on. (Sum of blocks 29, 30, and 31)	
33. Enter the number of <b>appealed pages</b> that were declassified in full.	33. 0
34. Enter the number of <b>appealed pages</b> that were declassified in part.	34. 0
35. Enter the number of <b>appealed pages</b> that were <b>denied</b> declassification.	35. 0
36. Total number of <b>appealed pages</b> acted on. (Sum of blocks 33, 34, & 35)	

## PART C Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO12958, as amended.	37. 9,000
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38. 3,750

Page 2 of 2

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39. 14
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40. 0

PART 12-Explanation/Comments:

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

## PART A: Identifying Information

1. Enter the **Fiscal Year** that this report covers.  
FY - 2006
2. Identify the **Department, Independent agency or Establishment** that is covered by this report.  
United States Nuclear Regulatory Commission
3. Enter the name, title, address, phone, fax, and email address of the **Senior Agency Official** (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.  
William F. Kane, Deputy Executive Director for Reactor and Preparedness Programs, Office of the Executive Director for Operations  
11555 Rockville pike, Mailstop OWFN 16-E15  
Rockville, MD 20852  
(301) 415-1713 WFK@NRC.GOV
4. Enter the name, title, phone, fax and email address for the **point-of-contact** responsible for answering questions about this report.  
A. Lynn Silvius, Chief (301) 415-2214 ALS@NRC.GOV  
Information Security Branch  
Division of Nuclear Security  
Office of Nuclear Security and Incident Response

## PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.
6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.
7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.
8. **Total** number of officials with original classification authority. (Sum of blocks 5, 6, & 7)

5.	7
6.	6
7.	0
8.	13

## PART C: Original Classification Decisions

Original classification is an initial determination that information requires, in the interest of national defense, the protection of secrecy. It also meets the following conditions: (1) it is information that is owned by, produced by, or under the control of the United States Government; (2) it falls in at least one of the categories found in Section 1.4 of EO 12958, as amended; and (3) its unauthorized disclosure could reasonably be expected to result in damage to the national security. It does not include information on classification decisions contained in material produced for dissemination or retention (regardless of media). Do not count reproductions or copies.

9. Enter the number of **original TOP SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.
10. Enter the number of **original TOP SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.
11. **Total** number of **TOP SECRET** original classification decisions. (Sum of blocks 9 & 10)
12. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.
13. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.
14. **Total** number of **SECRET** original classification decisions. (Sum of blocks 12 & 13)
15. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.
16. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.
17. **Total** number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)
18. **Total** number of original classification decisions. (Sum of blocks 11, 14, & 17)

9.	0
10.	0
11.	0
12.	0
13.	1
14.	1
15.	0
16.	0
17.	0
18.	1

## PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating new form information that is already classified, and marking the material classified on classification guides or other source documents. It provides information on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.

19. Enter the number of **derivative TOP SECRET** classifications during the reporting period.
20. Enter the number of **derivative SECRET** classifications during the reporting period.
21. Enter the number of **derivative CONFIDENTIAL** classifications during the reporting period.
22. **Total** number of derivative classification decisions. (Sum of blocks 19, 20, & 21)

19	2
20.	34
21.	114
22.	150



Identify the **Department, Independent agency or establishment** that is covered by this report.  
United States Nuclear Regulatory Commission

A request is an individual review request or appeal, regardless of the number of documents or pages. It is the entire request, not just the part of the request that you are requesting to be reviewed. Report only requests sent to your agency in which your agency is responsible for the final decision on the review or interpretation. Do not report requests where the number of requests or appeals is based on a number of items, such as a number of pages.

23. Enter the number of <b>requests carried over</b> from the <b>previous</b> reporting period.	23.	0
24. Enter the number of <b>new requests</b> received <b>during</b> the reporting period.	24.	0
25. Enter the number of <b>requests carried over</b> to the <b>next</b> reporting period.	25.	0
26. Enter the number of <b>appeals carried over</b> from the <b>previous</b> reporting period.	26.	0
27. Enter the number of <b>new appeals</b> received <b>during</b> the reporting period.	27.	0
28. Enter the number of <b>appeals carried over</b> to the <b>next</b> reporting period.	28.	0

29. Enter the number of <b>requested pages</b> that were <b>declassified in full</b> .	29.	0
30. Enter the number of <b>requested pages</b> that were <b>declassified in part</b> .	30.	0
31. Enter the number of <b>requested pages</b> that were <b>denied</b> declassification.	31.	0
32. <b>Total</b> number of <b>requested pages</b> acted on. (Sum of blocks 29, 30, and 31)	32.	0
33. Enter the number of <b>appealed pages</b> that were <b>declassified in full</b> .	33.	0
34. Enter the number of <b>appealed pages</b> that were <b>declassified in part</b> .	34.	0
35. Enter the number of <b>appealed pages</b> that were <b>denied</b> declassification.	35.	0
36. <b>Total</b> number of <b>appealed pages</b> acted on. (Sum of blocks 33, 34, & 35)	36.	0

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO12958, as amended.	37. 28,000
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38. 8,000

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39. 4
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40. 0

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

**Question # 37:** This number represents those pages that were reviewed by the NRC for declassification, as the Original Classifying Authority, as well as those that were reviewed for declassification and subsequently forwarded to the applicable Federal Agency that served as the document's Original Classification Authority.

**Question # 39:** During FY 06, our Information Security Branch conducted an agency-wide classification management review for the NRC trained 58 derivative classifiers housed in 13 different NRC offices. That agency-wide program review was counted as one (1) over arching inspection and included with the other three (3) inspections that were conducted in support of the NRC Information Security Program.

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

## PART A: Identifying Information

1. Enter the Fiscal Year that this report covers.

FY - 2007

2. Identify the Department, Independent agency or Establishment that is covered by this report.

United States Nuclear Regulatory Commission

3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.

William F. Kane, Deputy Executive Director for Reactor and Preparedness Programs, Office of the Executive Director for Operations  
11555 Rockville Pike, Mallstop OWFN 16-E15  
Rockville, MD 20852  
(301) 415-1713 WFK@NRC.GOV

4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report.

A. Lynn Silvious, Chief (301) 415-2214 ALS@NRC.GOV  
Information Security Branch  
Division of Nuclear Security  
Office of Nuclear Security and Incident Response

## PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.

5. 7 ✓

6. Enter the number of agency officials whose highest level of original classification authority is SECRET.

6. 6 ✓

7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.

7. 0 ✓

8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)

8. 13

## PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.

9. 0

10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

10. 0

11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)

11. 0

12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.

12. 0

13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

13. 0

14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)

14. 0

15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.

15. 0

16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.

16. 1

17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)

17. 1

18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)

18. 1

## PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

19. Enter the number of derivative TOP SECRET classifications during the reporting period.

19. 2

20. Enter the number of derivative SECRET classifications during the reporting period.

20. 210

21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.

21. 140

22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)

22. 352



Enter the Fiscal Year that this report covers.

FY - 2007

Identify the Department, Independent agency or establishment that is covered by this report.

United States Nuclear Regulatory Commission

### PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of requests carried over from the previous reporting period.	23.
	0
24. Enter the number of new requests received during the reporting period.	24.
	0
25. Enter the number of requests carried over to the next reporting period.	25.
	0
26. Enter the number of appeals carried over from the previous reporting period.	26.
	0
27. Enter the number of new appeals received during the reporting period.	27.
	0
28. Enter the number of appeals carried over to the next reporting period.	28.
	0

### PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.	29.
	0
30. Enter the number of requested pages that were declassified in part.	30.
	0
31. Enter the number of requested pages that were denied declassification.	31.
	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.
	0
33. Enter the number of appealed pages that were declassified in full.	33.
	0
34. Enter the number of appealed pages that were declassified in part.	34.
	0
35. Enter the number of appealed pages that were denied declassification.	35.
	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36.
	0

### PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO12958, as amended.	37.
	40,000
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38.
	6,200

### PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39.
	4
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40.
	0

### PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Question # 37: This number represents those pages that were reviewed by the NRC for declassification, as the Original Classifying Authority, as well as those that were reviewed for declassification and subsequently forwarded to the applicable Federal Agency that served as the document's Original Classification Authority.

NRC

**AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA**

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART I - Identifying Information	
1. Enter the Fiscal Year that this report covers. FY-2008	
2. Identify the Department, Independent agency or Establishment that is covered by this report. [REDACTED]	
3. Enter the name, title, address, phone, fax, and email address of the Senior Agency Official (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report. Bruce S. Mallen, Deputy Executive Director for Reactor and Preparedness Programs 11655 Rockville Pike, Mailstop O18-E15 Rockville, MD 20852 (301) 415-1713 bruce.mallen@nrc.gov	
4. Enter the name, title, phone, fax and email address for the point-of-contact responsible for answering questions about this report. A. Lynn Silvious, Chief, Information Security Branch Division of Security Operations Office of Nuclear Security and Incident response (301) 415-2214 fax: (301) 415-2190	
PART II - Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 6 ✓
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 5 ✓
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0 ✓
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	
PART III - Original Classification Decisions	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 0 ✓
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 0 ✓
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10)	
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 0 ✓
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 1 ✓
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 0 ✓
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 1 ✓
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	
PART IV - Derivative Classification Decisions	
19. Enter the number of derivative TOP SECRET classifications during the reporting period.	19. 1 ✓
20. Enter the number of derivative SECRET classifications during the reporting period.	20. 171 ✓
21. Enter the number of derivative CONFIDENTIAL classifications during the reporting period.	21. 214 ✓
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	

386

<b>PART I - Mandatory Declassification Review Results and Count</b>	
23. Enter the number of requests carried over from the previous reporting period.	23. 0
24. Enter the number of new requests received during the reporting period.	24. 0
25. Enter the number of requests carried over to the next reporting period.	25. 0
26. Enter the number of appeals carried over from the previous reporting period.	26. 0
27. Enter the number of new appeals received during the reporting period.	27. 0
28. Enter the number of appeals carried over to the next reporting period.	28. 0
<b>PART II - Mandatory Declassification Review Decision by Page</b>	
29. Enter the number of requested pages that were declassified in full.	29. 0
30. Enter the number of requested pages that were declassified in part.	30. 0
31. Enter the number of requested pages that were denied declassification.	31. 0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32. 0
33. Enter the number of appealed pages that were declassified in full.	33. 0
34. Enter the number of appealed pages that were declassified in part.	34. 0
35. Enter the number of appealed pages that were denied declassification.	35. 0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	36. 0
<b>PART III - Automatic Declassification System and Review</b>	
37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.	37. 22,000 ✓
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38. 3,500 ✓
<b>PART IV - Information Oversight</b>	
39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39. 2 ✓
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40. 0
<b>PART V - Planning Submittals</b>	
Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.	
Item 37. Due to a fewer number of classification actions in FY-1983, the number of pages that NRC determined to be eligible for automatic declassification in FY-2008 is substantially less than the number of pages (40,000) that was reported in FY-2007  OK.	

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

1. Enter the <b>Fiscal Year</b> that this report covers. FY - 2009
2. Identify the <b>Department, Independent agency or Establishment</b> that is covered by this report. U.S. Nuclear Regulatory Commission
3. Enter the name, title, address, phone, fax, and email address of the <b>Senior Agency Official</b> (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.  Bruce S. Mallett, Deputy Executive Director for Reactor and Preparedness Programs 11555 Rockville Pike, Mailstop O16-E15 Rockville, MD 20852 (301) 415-1713 Bruce.Mallett@NRC.GOV
4. Enter the name, title, phone, fax and email address for the <b>point-of-contact</b> responsible for answering questions about this report.  A. Lynn Silvius, Chief, Information Security Branch Division of Security Operations Office of Nuclear Security and Incident Response (301) 415-2214; Fax: (301) 415-2190; Lynn.Silvius@NRC.GOV

5. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>TOP SECRET</b> .	5.	5
6. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>SECRET</b> .	6.	7
7. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>CONFIDENTIAL</b> .	7.	0
8. <b>Total</b> number of officials with original classification authority. (Sum of blocks 5, 6, & 7)		

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. If the information only on classification decisions contained in finished products for dissemination or retention, regardless of the media, do not contain reproduction or copies.

- |   |     |   |
|---|-----|---|
| 9. Enter the number of original <b>TOP SECRET</b> classification decisions made during the reporting period with declassification instructions of 10 years or less.                       | 9.  | 0 |
| 10. Enter the number of original <b>TOP SECRET</b> classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.   | 10. | 0 |
| 11. Total number of <b>TOP SECRET</b> original classification decisions. (Sum of blocks 9 & 10)   |     | 0 |
| 12. Enter the number of original <b>SECRET</b> classification decisions made during the reporting period with declassification instructions of 10 years or less.                          | 12. | 0 |
| 13. Enter the number of original <b>SECRET</b> classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.       | 13. | 0 |
| 14. Total number of <b>SECRET</b> original classification decisions. (Sum of blocks 12 & 13)  |     | 0 |
| 15. Enter the number of original <b>CONFIDENTIAL</b> classification decisions made during the reporting period with declassification instructions of 10 years or less.                    | 15. | 0 |
| 16. Enter the number of original <b>CONFIDENTIAL</b> classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years. | 16. | 0 |
| 17. Total number of <b>CONFIDENTIAL</b> original classification decisions. (Sum of blocks 15 & 16)  |     | 0 |
| 18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)   |     | 0 |

Derivative classification is the process of identifying, marking, and controlling information that is derived from classified information. It includes the classification of information that is derived from classified information, the classification of information that is derived from classified information, and the classification of information that is derived from classified information. (Do not count reworded text as copies.)

- |   |     |       |
|---|-----|-------|
| 19. Enter the number of derivative <b>TOP SECRET</b> classifications during the reporting period.   | 19  | 1     |
| 20. Enter the number of derivative <b>SECRET</b> classifications during the reporting period.       | 20. | 581   |
| 21. Enter the number of derivative <b>CONFIDENTIAL</b> classifications during the reporting period. | 21. | 958   |
| 22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)               | 22. | 1,540 |

Enter the Fiscal Year that this report covers.

FY - 2009

Identify the Department, Independent agency or establishment that is covered by this report.  
U.S. Nuclear Regulatory Commission

#### PART E: Mandatory Declassification Review Requests and Appeals

A request is an individual review request for appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of requests carried over from the previous reporting period.	23.	0
24. Enter the number of new requests received during the reporting period.	24.	0
25. Enter the number of requests carried over to the next reporting period.	25.	0
26. Enter the number of appeals carried over from the previous reporting period.	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	0
28. Enter the number of appeals carried over to the next reporting period.	28.	0

#### PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.	29.	0
30. Enter the number of requested pages that were declassified in part.	30.	0
31. Enter the number of requested pages that were denied declassification.	31.	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)		0
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification.	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, & 35)		0

#### PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO 12958, as amended.	37.	1,300
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	38.	305

#### PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39.	2
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40.	0

#### PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

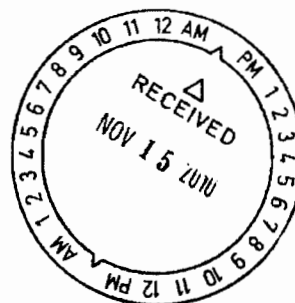
Item 37. Due to a much fewer number of classified documents in FY 1984, the number of pages NRC determined to be eligible for automatic declassification in FY 2009 is substantially less than the number of pages (22,000) reported in FY 2008.

Items 19 - 22. The numbers listed include estimates of electronic classification decisions for the first time, as requested in ISOO guidance. The hard copy documents are an exact count, while the electronic numbers are based on a one-month period sample which was multiplied by 12.



**UNITED STATES  
NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

November 4, 2010



Mr. William J. Bosanko, Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, N.W.  
Washington, DC 20408

Dear Mr. Bosanko:

In response to your letter of August 3, 2010, enclosed is the U.S. Nuclear Regulatory Commission's (NRC's) fiscal year 2010 submission of Standard Form 311, Agency Security Classification Management Program Data.

The report serves as a compilation of actions taken in support of the NRC classified information security program. Please note that an actual count of hard copy classification decisions was used to calculate the data for this report, while an estimate of electronic classification decisions was used, as requested in Information Security Oversight Office guidance. The NRC has annotated in Part I the number of classification guides created by the NRC and currently in use. All guides have been reviewed and/or updated during the last five years. Additionally, in the comments section, Part J, we have noted that the NRC has created six joint classification guides with the Department of Energy.

Should you have any questions regarding this report, please contact Bernard W. Stapleton, Chief, Information Security Branch, Office of Nuclear Security and Incident Response at 301-415-2214.

Sincerely,

A handwritten signature in black ink, appearing to read "M. Virgilio".

Martin J. Virgilio  
Deputy Executive Director for Reactor  
and Preparedness Programs  
Office of the Executive Director for Operations

Enclosure:  
As stated

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

1. Enter the Fiscal Year that this report covers.	1. 2010
2. Identify the Department, Independent agency or Establishment that is covered by this report.	2. U.S. Nuclear Regulatory Commission
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 3.4(d)) responsible for this report.	3. Martin J. Virgilio, Deputy Executive Director for Reactor and Preparedness Programs 11555 Rockville Pike, Mailstop O1B-E16 Bethesda, MD 20814-6100, Martin.Virgilio@NRC.gov
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4. Bernard W. Blanton, Chief, Information Security Branch Division of Security Operations Office of Nuclear Security and Incident Response (301) 415-2214, Fax (301) 415-2100, Bernard.Blanton@NRC.gov
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 7
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 4
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 11
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11. 0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 0
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14. 0
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17. 0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18. 0
19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	19. 0
20. Enter the number of derivative SECRET classification decisions during the reporting period.	20. 938
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	21. 1174
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22. 2112



23. Enter the number of <b>requests carried over</b> from the <b>previous</b> reporting period. (Block 25 on last year's report)	23.	0
24. Enter the number of <b>new requests</b> received <b>during</b> the reporting period.	24.	1
25. Enter the number of <b>requests carried over</b> to the <b>next</b> reporting period.	25.	0
26. Enter the number of <b>appeals carried over</b> from the <b>previous</b> reporting period. (Block 28 on last year's report)	26.	0
27. Enter the number of <b>new appeals</b> received <b>during</b> the reporting period.	27.	0
28. Enter the number of <b>appeals carried over</b> to the <b>next</b> reporting period.	28.	0
29. Enter the number of <b>requested pages</b> that were declassified in <b>full</b> .	29.	0
30. Enter the number of <b>requested pages</b> that were declassified in <b>part</b> .	30.	0
31. Enter the number of <b>requested pages</b> that were <b>denied</b> declassification	31.	0
32. Total number of <b>requested pages</b> acted on. (Sum of blocks 29, 30, and 31)	32.	0
33. Enter the number of <b>appealed pages</b> that were declassified in <b>full</b> .	33.	0
34. Enter the number of <b>appealed pages</b> that were declassified in <b>part</b> .	34.	0
35. Enter the number of <b>appealed pages</b> that were <b>denied</b> declassification	35.	0
36. Total number of <b>appealed pages</b> acted on. (Sum of blocks 33, 34, and 35)	36.	0
37. Enter the number of pages <b>reviewed</b> that were subject to <b>automatic declassification</b> under section 3.3 of E.O. 13526.	37.	1025
38. Enter the number of pages <b>declassified</b> under section 3.3 of E.O. 13526.	38.	200
39. Enter the number of pages <b>reviewed</b> that were subject to <b>systematic declassification</b> under section 3.4 of E.O. 13526.	39.	0
40. Enter the number of pages <b>declassified</b> under section 3.4 of E.O. 13526.	40.	0
41. Enter the number of pages <b>reviewed</b> that were subject to <b>discretionary declassification</b> under section 3.1 of E.O. 13526.	41.	0
42. Enter the number of pages <b>declassified</b> under section 3.1 of E.O. 13526.	42.	0
43. Enter the number of <b>inspections, surveys, or program reviews</b> conducted by your agency, covering any aspect of the security classification program, <b>completed</b> during the reporting period.	43.	2
44. Enter the number of <b>challenges</b> processed by your agency to the classification of information believed to be <b>improperly classified or unclassified</b> . (E.O. 13526, section 1.8)	44.	0
45. Enter the number of <b>challenges</b> where the classification status was <b>fully affirmed</b> .	45.	0
46. Enter the number of <b>challenges</b> where the classification status was <b>overturned in whole or in part</b> .	46.	0
47. Enter the number of security classification guides <b>created</b> by your agency and <b>currently in use</b> .	47.	5



Fiscal Year 2010 Department or Agency U.S. Nuclear Regulatory Commission

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.

**Sampling Period (check one):**

2-Week ☐

4-Week ☒

8-Week ☐

One Year ☐

**Multiplier Applied (check one):**

None ☐

Other (Specify) ☒

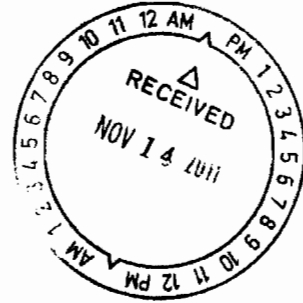
Item 47, Part I. The NRC has also created six (6) joint classification guides with the Department of Energy, including one with Department of Homeland Security.

Items 20 - 22, Part D. The multiplier used for the 4-week sampling was 13 which provided the 52-week fiscal year estimate of electronic classification decisions for the NRC.



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

November 8, 2011



Mr. John P. Fitzpatrick, Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, N.W.  
Washington, DC 20408

Dear Mr. Fitzpatrick:

In response to your memorandum of August 23, 2011, enclosed is the U.S. Nuclear Regulatory Commission's (NRC's) fiscal year 2011 submission of Standard Form 311, Agency Security Classification Management Program Data.

The report serves as a compilation of actions taken in support of the NRC classified information security program. Please note that an actual count of hard copy classification decisions was used to calculate the data for this report, while an estimate of electronic classification decisions was used, as requested in Information Security Oversight Office guidance. The NRC has annotated in Part I the number of classification guides created by the NRC and currently in use. All guides have been reviewed and/or updated during the last 5 years. Additionally, in the Comments section, Part J, we have noted that the NRC has created eight joint classification guides with the Department of Energy, two of which were also created with the Department of Homeland Security.

Should you have any questions regarding this report, please contact Bernard W. Stapleton, Chief, Information Security Branch at 301-415-2214.

Sincerely,

A handwritten signature in black ink, appearing to read "M. Virgilio".

Martin J. Virgilio  
Deputy Executive Director for Reactor  
and Preparedness Programs  
Office of the Executive Director for Operations

Enclosure:  
As stated

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1. 2011
2. Identify the Department, Independent agency or Establishment that is covered by this report.	2. U.S. Nuclear Regulatory Commission
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Martin J. Virgilio, Deputy Executive Director for Reactor and Preparedness Programs 11555 Rockville Pike, Mailstop O16-E15 Rockville, MD 20852 301-415-1713, Martin.Virgilio@NRC.GOV
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4. Bernard W. Stapleton, Chief, Information Security Branch, Division of Security Operations Office of Nuclear Security and Incident Response 301-415-2214; Fax: 301-415-2190; Bernard.Stapleton@NRC.GOV
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 7
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 4
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 11
PART C: Original Classification Decisions	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11. 0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 0
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14. 0
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17. 0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18. 0
PART D: Derivative Classification Decisions	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	19. 0
20. Enter the number of derivative SECRET classification decisions during the reporting period.	20. 1925
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	21. 1414
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22. 3339

<b>PART E: Mandatory Declassification Review Requests and Appeals</b>		
A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part J below where the number of requests or appeals carried forward between reporting period changes.		
23. Enter the number of requests carried over from the previous reporting period. (Block 25 on last year's report)	23.	1
24. Enter the number of new requests received during the reporting period.	24.	0
25. Enter the number of requests carried over to the next reporting period.	25.	0
26. Enter the number of appeals carried over from the previous reporting period. (Block 28 on last year's report)	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	1
28. Enter the number of appeals carried over to the next reporting period.	28.	1
<b>PART F: Mandatory Declassification Review Decisions in Pages</b>		
29. Enter the number of requested pages that were declassified in full.	29.	522
30. Enter the number of requested pages that were declassified in part.	30.	66
31. Enter the number of requested pages that were denied declassification	31.	7
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	595
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, and 35)	36.	0
<b>PART G: Automatic, Systematic, and Discretionary Declassification Reviews</b>		
37. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	37.	1099
38. Enter the number of pages declassified under section 3.3 of E.O. 13526.	38.	390
39. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	39.	0
40. Enter the number of pages declassified under section 3.4 of E.O. 13526.	40.	0
41. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	41.	0
42. Enter the number of pages declassified under section 3.1 of E.O. 13526.	42.	0
<b>PART H: Internal Agency Oversight</b>		
43. Enter the number of inspections, surveys, or program reviews conducted by your agency, covering any aspect of the security classification program, completed during the reporting period.	43.	2
44. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	44.	0
45. Enter the number of challenges where the classification status was fully affirmed.	45.	0
46. Enter the number of challenges where the classification status was overturned in whole or in part.	46.	0
<b>PART I: Classification Guides</b>		
47. Enter the number of security classification guides created by your agency and currently in use.	47.	2

Fiscal Year **2011** Department or Agency **U.S. Nuclear Regulatory Commission**

**PART J: Explanatory Comments**

*Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.*

**Sampling Period (check one):**

2-Week ☐

4-Week ☒

8-Week ☐

One Year ☐

**Multiplier Applied (check one):**

None ☐

Other (Specify) ☒

Item 47, Part I. The NRC has also created eight (8) joint classification guides with the Department of Energy, including two with Department of Homeland Security.

Items 20 - 22, Part D. The multiplier used for the 4-week sampling was 13 which provided the 52-week fiscal year estimate of electronic classification decisions for the NRC.

The SF 311 form is currently under revision. When the revision is complete, it will appear the same as this attachment. For FY 2012, please use this attachment to respond. The only part that has changed is Part E.

## AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

<b>PART A: Identifying Information</b>	
1. Enter the <b>Fiscal Year</b> that this report covers.	1. <b>2012</b>
2. Identify the <b>Department, Independent agency, or Establishment</b> that is covered by this report.	2. <b>U.S. Nuclear Regulatory Commission</b>
3. Enter the name, title, address, phone, fax, and e-mail address of the <b>Senior Agency Official</b> (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Michael R. Johnson, Deputy Executive Director for Reactor and preparedness Programs 11555 Rockville Pike, Mailstop O-16-E15 Rockville, MD 20852 Office (301) 415-1713; Email: Michael.Johnson@nrc.gov
4. Enter the name, title, phone, fax, and e-mail address for the <b>point-of-contact</b> responsible for answering questions about this report.	4. Bernard W. Stapleton, Chief, Information Security Branch Office of Nuclear Security and Incident Response Office: (301) 415-2214; Fax: (301) 415-2190; Email: Bernard.Stapleton@nrc.gov
<b>PART B: Officials with Original Classification Authority</b>	
5. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>TOP SECRET</b> .	5. <b>7</b>
6. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>SECRET</b> .	6. <b>4</b>
7. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>CONFIDENTIAL</b> .	7. <b>0</b>
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. <b>11</b>
<b>PART C: Original Classification Decisions</b>	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
9. Enter the number of <b>original TOP SECRET</b> classification decisions made during the reporting period with <b>declassification instructions of 10 years or less</b> .	9. <b>0</b>
10. Enter the number of <b>original TOP SECRET</b> classification decisions made during the reporting period with <b>declassification instructions ranging from over 10 years to 25 years</b> .	10. <b>0</b>
11. Total number of <b>TOP SECRET</b> original classification decisions. (Sum of blocks 9 & 10).	11. <b>0</b>
12. Enter the number of <b>original SECRET</b> classification decisions made during the reporting period with <b>declassification instructions of 10 years or less</b> .	12. <b>0</b>
13. Enter the number of <b>original SECRET</b> classification decisions made during the reporting period with <b>declassification instructions ranging from over 10 years to 25 years</b> .	13. <b>0</b>
14. Total number of <b>SECRET</b> original classification decisions. (Sum of blocks 12 & 13)	14. <b>0</b>
15. Enter the number of <b>original CONFIDENTIAL</b> classification decisions made during the reporting period with <b>declassification instructions of 10 years or less</b> .	15. <b>0</b>
16. Enter the number of <b>original CONFIDENTIAL</b> classification decisions made during the reporting period with <b>declassification instructions ranging from over 10 years to 25 years</b> .	16. <b>0</b>
17. Total number of <b>CONFIDENTIAL</b> original classification decisions. (Sum of blocks 15 & 16)	17. <b>0</b>
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18. <b>0</b>
<b>PART D: Derivative Classification Decisions</b>	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
19. Enter the number of <b>derivative TOP SECRET</b> classification decisions made during the reporting period.	19. <b>0</b>
20. Enter the number of <b>derivative SECRET</b> classification decisions made during the reporting period.	20. <b>1750</b>
21. Enter the number of <b>derivative CONFIDENTIAL</b> classification decisions made during the reporting period.	21. <b>709</b>
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22. <b>2459</b>

<b>PART E: Mandatory Declassification Review Requests and Appeals</b>		
A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.		
<b>For blocks 23-30, report only requests and appeals in which your agency is responsible for the final release.</b>		
23. Enter the number of <b>requests</b> received during the reporting period.	23.	0
24. Enter the number of <b>requests</b> closed during the reporting period.	24.	0
25. Enter the number of <b>requests</b> that have been unresolved for over one year.	25.	0
26. Enter the <b>average number of days</b> to resolve each request.	26.	0
27. Enter the number of <b>appeals</b> received during the reporting period.	27.	0
28. Enter the number of <b>appeals</b> closed during the reporting period.	28.	0
29. Enter the number of <b>appeals</b> that have been unresolved for over one year.	29.	0
30. Enter the <b>average number of days</b> to resolve each appeal.	30.	0
<b>For blocks 31-32, report the number of requests and appeals that were referred to your agency, and the referring agency is responsible for the final release.</b>		
31. Enter the number of <b>referred requests</b> received during the reporting period.	31.	0
32. Enter the number of <b>referred appeals</b> received during the reporting period.	32.	0
<b>PART F: Mandatory Declassification Review Decisions in Pages</b> Count only those pages documented in blocks 23-30 above.		
33. Enter the number of <b>requested pages</b> that were declassified in full.	33.	0
34. Enter the number of <b>requested pages</b> that were declassified in part.	34.	0
35. Enter the number of <b>requested pages</b> that were <b>denied</b> declassification.	35.	0
36. Total number of <b>requested pages</b> acted on. (Sum of blocks 33, 34, & 35)	36.	0
37. Enter the number of <b>appealed pages</b> that were declassified in full.	37.	0
38. Enter the number of <b>appealed pages</b> that were declassified in part.	38.	0
39. Enter the number of <b>appealed pages</b> that were <b>denied</b> declassification.	39.	0
40. Total number of <b>appealed pages</b> acted on. (Sum of blocks 37, 38, & 39)	40.	0
<b>PART G: Automatic, Systematic, and Discretionary Declassification Reviews</b>		
41. Enter the number of pages <b>reviewed</b> that were subject to <b>automatic declassification</b> under section 3.3 of E.O. 13526.	41.	0
42. Enter the number of pages <b>declassified</b> under <b>automatic declassification</b> .	42.	0
43. Enter the number of pages <b>reviewed</b> that were subject to <b>systematic declassification</b> under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages <b>declassified</b> under <b>systematic declassification</b> .	44.	0
45. Enter the number of pages <b>reviewed</b> that were subject to <b>discretionary declassification</b> under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages <b>declassified</b> under <b>discretionary declassification</b> .	46.	0
<b>PART H: Internal Agency Oversight</b>		
47. Enter the number of <b>self-inspections conducted</b> by your agency, covering any aspect of the security classification program, during the reporting period.	47.	2
48. Enter the number of <b>challenges</b> processed by your agency to the classification of information believed to be <b>improperly classified or unclassified</b> . (E.O. 13526, section 1.8)	48.	0
49. Enter the number of <b>challenges</b> where the classification status was <b>fully affirmed</b> .	49.	0
50. Enter the number of <b>challenges</b> where the classification status was <b>overturned in whole or in part</b> .	50.	0
51. Enter the number of <b>security classification guides</b> created by your agency and <b>currently in use</b> .	51.	6

**PART I: Explanatory Comments**

*Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.*

NRC is currently drafting a classification guide for Technical Nuclear Forensics (CG-TNF-1) with several other government Departments/Agencies. Guide is expected to be finalized in January 2013.

Declassification review will be conducted in December 2012 due to resource constraints.



OFFICIAL USE ONLY – SECURITY-RELATED INFORMATION



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

November 8, 2013

Mr. John P. Fitzpatrick, Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW, Room 100  
Washington, DC 20408-0001

Dear Mr. Fitzpatrick:

In response to your memorandum of July 25, 2013, enclosed is the U.S. Nuclear Regulatory Commission's (NRC) fiscal year 2013 Standard Form 311, "Agency Security Classification Management Program Data." This report serves as a compilation of actions taken in support of the NRC classified information security program.

Please note that an actual count of hard-copy classification decisions was used to calculate the data for this report, while an estimate of electronic classification decisions was used, as requested in the Information Security Oversight Office guidance.

If you have any questions, please contact Mr. Bernard Stapleton, Chief of the Information Security Branch, Office of Nuclear Security and Incident Response at (301) 415-2214 or [Bernard.Stapleton@nrc.gov](mailto:Bernard.Stapleton@nrc.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Michael R. Johnson", is written over a horizontal line.

Michael R. Johnson  
Deputy Executive Director for Reactor  
and Preparedness Programs  
Office of the Executive Director for Operations

Enclosure:  
Agency Security Classification  
Management Program Data

OFFICIAL USE ONLY – SECURITY-RELATED INFORMATION

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the <b>Fiscal Year</b> that this report covers.	1.	2013
2. Identify the <b>Department, Independent agency, or Establishment</b> that is covered by this report.	2.	U.S. Nuclear Regulatory Commission
3. Enter the name, title, address, phone, fax, and e-mail address of the <b>Senior Agency Official</b> (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3.	Michael R. Johnson, Deputy Executive Director for Reactor and Preparedness Programs 11555 Rockville Pike, Mail Stop OWEN 16-E15, Rockville, MD 20852 Office (301) 415-1713, E-mail: Michael.Johnson@nrc.gov
4. Enter the name, title, phone, fax, and email address for the <b>point-of-contact</b> responsible for answering questions about this report.	4.	Bernard W. Stapleton, Chief, Information Security Branch, Office of Nuclear Security and Incident Response Office: (301) 415-2214; Fax: (301) 415-2190; E-mail: Bernard.Stapleton@nrc.gov
PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>TOP SECRET</b> .	5.	7
6. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>SECRET</b> .	6.	4
7. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>CONFIDENTIAL</b> .	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	11
PART C: Original Classification Decisions		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
9. Enter the number of <b>original TOP SECRET</b> classification decisions made during the reporting period <b>with declassification instructions of 10 years or less</b> .	9.	0
10. Enter the number of <b>original TOP SECRET</b> classification decisions made during the reporting period <b>with declassification instructions ranging from over 10 years to 25 years</b> .	10.	0
11. <b>Total</b> number of <b>TOP SECRET</b> original classification decisions. (Sum of blocks 9 & 10).	11.	0
12. Enter the number of <b>original SECRET</b> classification decisions made during the reporting period <b>with declassification instructions of 10 years or less</b> .	12.	0
13. Enter the number of <b>original SECRET</b> classification decisions made during the reporting period <b>with declassification instructions ranging from over 10 years to 25 years</b> .	13.	0
14. <b>Total</b> number of <b>SECRET</b> original classification decisions. (Sum of blocks 12 & 13)	14.	0
15. Enter the number of <b>original CONFIDENTIAL</b> classification decisions made during the reporting period <b>with declassification instructions of 10 years or less</b> .	15.	0
16. Enter the number of <b>original CONFIDENTIAL</b> classification decisions made during the reporting period <b>with declassification instructions ranging from over 10 years to 25 years</b> .	16.	0
17. <b>Total</b> number of <b>CONFIDENTIAL</b> original classification decisions. (Sum of blocks 15 & 16)	17.	0
18. <b>Total</b> number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	0
PART D: Derivative Classification Decisions		
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
19. Enter the number of <b>derivative TOP SECRET</b> classification decisions made during the reporting period.	19.	0
20. Enter the number of <b>derivative SECRET</b> classification decisions made during the reporting period.	20.	997
21. Enter the number of <b>derivative CONFIDENTIAL</b> classification decisions made during the reporting period.	21.	638
22. <b>Total</b> number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	1,635

**PART E: Mandatory Declassification Review Requests and Appeals**

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.

**Report only requests for your agency in which your agency is responsible for the final decision.**

23. Enter the number of <b>requests</b> received during the reporting period.	23.	0
24. Enter the number of <b>requests</b> closed during the reporting period.	24.	0
25. Enter the number of <b>requests</b> that have been unresolved for over one year.	25.	0
26. Enter the <b>average number of days</b> to resolve each request.	26.	0
27. Enter the number of <b>appeals</b> received during the reporting period.	27.	0
28. Enter the number of <b>appeals</b> closed during the reporting period.	28.	0
29. Enter the number of <b>appeals</b> that have been unresolved for over one year.	29.	0
30. Enter the <b>average number of days</b> to resolve each appeal.	30.	0
31. Enter the number of <b>referred requests</b> received during the reporting period.	31.	0
32. Enter the number of <b>referred appeals</b> received during the reporting period.	32.	0

**PART F: Mandatory Declassification Review Decisions in Pages**

33. Enter the number of <b>requested pages</b> that were declassified <b>in full</b> .	33.	0
34. Enter the number of <b>requested pages</b> that were declassified <b>in part</b> .	34.	0
35. Enter the number of <b>requested pages</b> that were <b>denied</b> declassification.	35.	0
36. Total number of <b>requested pages</b> acted on. (Sum of blocks 33, 34, & 35)	36.	0
37. Enter the number of <b>appealed pages</b> that were declassified <b>in full</b> .	37.	0
38. Enter the number of <b>appealed pages</b> that were declassified <b>in part</b> .	38.	0
39. Enter the number of <b>appealed pages</b> that were <b>denied</b> declassification.	39.	0
40. Total number of <b>appealed pages</b> acted on. (Sum of blocks 37, 38, & 39)	40.	0

**PART G: Automatic, Systematic, and Discretionary Declassification Reviews**

41. Enter the number of pages <b>reviewed</b> that were subject to <b>automatic declassification</b> under section 3.3 of E.O. 13526.	41.	0
42. Enter the number of pages <b>declassified</b> under <b>automatic declassification</b> .	42.	0
43. Enter the number of pages <b>reviewed</b> that were subject to <b>systematic declassification</b> under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages <b>declassified</b> under <b>systematic declassification</b> .	44.	0
45. Enter the number of pages <b>reviewed</b> that were subject to <b>discretionary declassification</b> under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages <b>declassified</b> under <b>discretionary declassification</b> .	46.	0

**PART H: Internal Agency Oversight**

47. Enter the number of <b>self-inspections conducted</b> by your agency, covering any aspect of the security classification program, during the reporting period.	47.	2
48. Enter the number of <b>challenges</b> processed by your agency to the classification of information believed to be <b>improperly classified or unclassified</b> . (E.O. 13526, section 1.8)	48.	0
49. Enter the number of <b>challenges</b> where the classification status was <b>fully affirmed</b> .	49.	0
50. Enter the number of <b>challenges</b> where the classification status was <b>overturned in whole or in part</b> .	50.	0
51. Enter the number of <b>security classification guides</b> created by your agency and <b>currently in use</b> .	51.	10

**PART I: Explanatory Comments**

*Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.*

Part D: Reduction in derivative Secret and Confidential classification decisions from FY2012 to FY2013 is attributed to the U.S. Nuclear Regulatory Commission (NRC) granting USEC Inc.'s American Centrifuge Lead Cascade Facility, the American Centrifuge Plant, and its NRC regulated suppliers conducting classified work in support of the American Centrifuge program an exemption from documenting all classification actions by completing and submitting NRC Form 790, "Classification Record" to the NRC as required by Title 10 of the Code of Federal Regulations (10 CFR) 95.57(c). Since the aforementioned entities were reporting derivative classification decisions to both the NRC and the U.S. Department of Energy (DOE), an exemption from the requirements of 10 CFR 95.57(c) was granted on July 29, 2013 so long as these entities continued to report to the DOE a summary of its classification decisions. This exemption prevents the NRC and the DOE from double counting these derivative classification decisions and reduces the burden on the reporting entities.

Part G: Declassification review will be conducted in FY2014 due to resource constraints.

Part H, Question 51: Increased number of security classification guides from FY2012 to FY2013 as a result of the following additions:

CG-MOX-1, "(U) Joint DOE/NRC Classification and Unclassified Controlled Nuclear Information Guide for the Mixed Oxide Fuel Fabrication Facility" issued September 27, 2013.

CG-TNF-1, "(U) Joint National Technical Nuclear Forensics Guide" issued July 11, 2013.

**For ISOO Use Only**

ISOO Analyst Name:

A. J. Lutz

Date of QC:

11/26/2013

Analyst Initials:

AL

OFFICIAL USE ONLY – SECURITY-RELATED INFORMATION



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

November 5, 2014

Mr. John P. Fitzpatrick, Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW, Room 100  
Washington, DC 20408-0001

Dear Mr. Fitzpatrick:

In response to your request of July 25, 2014, enclosed is the U.S. Nuclear Regulatory Commission's (NRC) fiscal year 2014 Standard Form 311, "Agency Security Classification Management Program Data." This report serves as a compilation of actions taken in support of the NRC classified information security program.

Please note that an actual count of hard-copy classification decisions was used to calculate the data for this report, while an estimate of electronic classification decisions was used, as requested in the Information Security Oversight Office guidance.

If you have any questions, please contact Dr. Garmon West, Jr., Chief of the Information Security Branch, Office of Nuclear Security and Incident Response at (301) 415-7187 or [Garmon.West@nrc.gov](mailto:Garmon.West@nrc.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Michael R. Johnson", is written over a horizontal line.

Michael R. Johnson  
Deputy Executive Director for Reactor  
and Preparedness Programs  
Office of the Executive Director for Operations

Enclosure:  
As stated

Enclosures transmitted herewith  
contain SUNSI. When separated  
from the enclosures, this  
transmittal document is  
decontrolled.

OFFICIAL USE ONLY – SECURITY-RELATED INFORMATION

# **AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA**

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

<b>PART A: Identifying Information</b>		
1. Enter the Fiscal Year that this report covers.	1.	2014
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2.	U.S. Nuclear Regulatory Commission
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3.	Michael R. Johnson, Deputy Executive Director for Research and Development Programs 11555 Rockville Pike, Mail Stop 0747N 164-11, Rockville, MD 20852 Office (301) 415-1711, E-mail: Michael.Johnson@nrc.gov, Fax: (301) 415-2700
4. Enter the name, title, phone, fax, and email address for the point-of-contact responsible for answering questions about this report.	4.	Garmon West, Jr., Chief, Information Security Branch, Office of Nuclear Security and Incident Response Office (301) 415-7187, Fax: (301) 415-2190, E-mail: Garmon.West@nrc.gov
<b>PART B: Officials with Original Classification Authority</b>		
5. Enter the number of agency officials whose highest level of original classification authority is <b>TOP SECRET</b> .	5.	7
6. Enter the number of agency officials whose highest level of original classification authority is <b>SECRET</b> .	6.	4
7. Enter the number of agency officials whose highest level of original classification authority is <b>CONFIDENTIAL</b> .	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	11
<b>PART C: Original Classification Decisions</b>		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
9. Enter the number of original <b>TOP SECRET</b> classification decisions made during the reporting period with declassification instructions of 10 years or less.	9.	0
10. Enter the number of original <b>TOP SECRET</b> classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10.	0
11. Total number of <b>TOP SECRET</b> original classification decisions. (Sum of blocks 9 & 10).	11.	0
12. Enter the number of original <b>SECRET</b> classification decisions made during the reporting period with declassification instructions of 10 years or less.	12.	0
13. Enter the number of original <b>SECRET</b> classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13.	2
14. Total number of <b>SECRET</b> original classification decisions. (Sum of blocks 12 & 13)	14.	2
15. Enter the number of original <b>CONFIDENTIAL</b> classification decisions made during the reporting period with declassification instructions of 10 years or less.	15.	0
16. Enter the number of original <b>CONFIDENTIAL</b> classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16.	0
17. Total number of <b>CONFIDENTIAL</b> original classification decisions. (Sum of blocks 15 & 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	2
<b>PART D: Derivative Classification Decisions</b>		
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
19. Enter the number of derivative <b>TOP SECRET</b> classification decisions made during the reporting period.	19.	13
20. Enter the number of derivative <b>SECRET</b> classification decisions made during the reporting period.	20.	1,433
21. Enter the number of derivative <b>CONFIDENTIAL</b> classification decisions made during the reporting period.	21.	987
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	2,433

<b>PART E: Mandatory Declassification Review Requests and Appeals</b>		
A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision.		
23. Enter the number of requests received during the reporting period.	23.	0
24. Enter the number of requests closed during the reporting period.	24.	0
25. Enter the number of requests that have been unresolved for over one year.	25.	0
26. Enter the average number of days to resolve each request.	26.	0
27. Enter the number of appeals received during the reporting period.	27.	0
28. Enter the number of appeals closed during the reporting period.	28.	0
29. Enter the number of appeals that have been unresolved for over one year.	29.	0
30. Enter the average number of days to resolve each appeal.	30.	0
31. Enter the number of referred requests received during the reporting period.	31.	0
32. Enter the number of referred appeals received during the reporting period.	32.	0
<b>PART F: Mandatory Declassification Review Decisions in Pages</b>		
33. Enter the number of requested pages that were declassified in full.	33.	0
34. Enter the number of requested pages that were declassified in part.	34.	0
35. Enter the number of requested pages that were denied declassification.	35.	0
36. Total number of requested pages acted on. (Sum of blocks 33, 34, & 35)	36.	0
37. Enter the number of appealed pages that were declassified in full.	37.	0
38. Enter the number of appealed pages that were declassified in part.	38.	0
39. Enter the number of appealed pages that were denied declassification.	39.	0
40. Total number of appealed pages acted on. (Sum of blocks 37, 38, & 39)	40.	0
<b>PART G: Automatic, Systematic, and Discretionary Declassification Reviews</b>		
41. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	41.	0
42. Enter the number of pages declassified under automatic declassification.	42.	0
43. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages declassified under systematic declassification.	44.	0
45. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages declassified under discretionary declassification.	46.	0
<b>PART H: Internal Agency Oversight</b>		
47. Enter the number of self-inspections conducted by your agency, covering any aspect of the security classification program, during the reporting period.	47.	3
48. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	48.	0
49. Enter the number of challenges where the classification status was fully affirmed.	49.	0
50. Enter the number of challenges where the classification status was overturned in whole or in part.	50.	0
51. Enter the number of security classification guides created by your agency and currently in use.	51.	11

### PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Part B: Two of the four positions delegated Secret original classification authority are occupied by individuals on a temporary basis. Individuals temporarily acting in a position are not delegated original classification authority. At which time individuals are selected to permanently occupy these positions, then these individuals will be delegated Secret original classification authority from Chairman Macfarlane.

Part D: The increase in derivative classification decisions from FY2013 to FY2014 can be attributed to more accurate estimates of derivative classification decisions made on classified e-mail content. In prior years, the U.S. NRC did not account for an accurate estimate of derivative classification decisions associated with e-mail.

Part G: Declassification reviews were not conducted in FY2014 due to resource constraints. Declassification reviews will be conducted in FY2015, pending the availability of resources.

Part H, Question 51: Increased number of security classification guides from FY2013 to FY2014 as a result of the following addition:

CB-NRC-1, "(U) Classification Guidance for Nuclear Regulatory Commission-Licensed Facility," issued September 18, 2014. CB-NRC-1, Change 1 was subsequently issued on October 2, 2014.

#### For ISOO Use Only

ISOO Analyst Name: Joseph R. Taylor, Jr.

Date of QC: 24 Nov 2014

Analyst Initials: JRT



# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the <b>Fiscal Year</b> that this report covers.	1.	2015
2. Identify the <b>Department, Independent agency, or Establishment</b> that is covered by this report.	2.	U.S. Nuclear Regulatory Commission
3. Enter the name and title of the <b>Senior Agency Official</b> (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3.	Michael R. Johnson, Deputy Executive Director for Reactor and preparedness Programs
4. Enter the name, title, phone, and email address for the <b>point-of-contact</b> responsible for answering questions about this report.	4.	Bernard W. Stapleton, Chief, Information Security Branch Office of Nuclear Security and Incident Response
PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>TOP SECRET</b> .	5.	7
6. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>SECRET</b> .	6.	4
7. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>CONFIDENTIAL</b> .	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	11
PART C: Original Classification Decisions		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
9. Enter the number of <b>original TOP SECRET</b> classification decisions made during the reporting period with <b>declassification instructions of 10 years or less</b> .	9.	0
10. Enter the number of <b>original TOP SECRET</b> classification decisions made during the reporting period with <b>declassification instructions ranging from over 10 years to 25 years</b> .	10.	0
11. Total number of <b>TOP SECRET</b> original classification decisions. (Sum of blocks 9 & 10).	11.	0
12. Enter the number of <b>original SECRET</b> classification decisions made during the reporting period with <b>declassification instructions of 10 years or less</b> .	12.	0
13. Enter the number of <b>original SECRET</b> classification decisions made during the reporting period with <b>declassification instructions ranging from over 10 years to 25 years</b> .	13.	0
14. Total number of <b>SECRET</b> original classification decisions. (Sum of blocks 12 & 13)	14.	0
15. Enter the number of <b>original CONFIDENTIAL</b> classification decisions made during the reporting period with <b>declassification instructions of 10 years or less</b> .	15.	0
16. Enter the number of <b>original CONFIDENTIAL</b> classification decisions made during the reporting period with <b>declassification instructions ranging from over 10 years to 25 years</b> .	16.	0
17. Total number of <b>CONFIDENTIAL</b> original classification decisions. (Sum of blocks 15 & 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	0
PART D: Derivative Classification Decisions		
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
19. Enter the number of <b>derivative TOP SECRET</b> classification decisions made during the reporting period.	19.	0
20. Enter the number of <b>derivative SECRET</b> classification decisions made during the reporting period.	20.	1750
21. Enter the number of <b>derivative CONFIDENTIAL</b> classification decisions made during the reporting period.	21.	709
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	2459

**PART E: Mandatory Declassification Review Requests and Appeals**

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.  
**Report only requests for your agency in which your agency is responsible for the final decision.**

23. Enter the number of <b>requests</b> received during the reporting period.	23.	0
24. Enter the number of <b>requests</b> closed during the reporting period.	24.	0
25. Enter the number of <b>requests</b> that have been unresolved for over one year.	25.	0
26. Enter the <b>average number of days</b> to resolve each request.	26.	0
27. Enter the number of <b>appeals</b> received during the reporting period.	27.	0
28. Enter the number of <b>appeals</b> closed during the reporting period.	28.	0
29. Enter the number of <b>appeals</b> that have been unresolved for over one year.	29.	0
30. Enter the <b>average number of days</b> to resolve each appeal.	30.	0
<b>PART F: Mandatory Declassification Review Decisions in Pages</b>		
33. Enter the number of <b>requested pages</b> that were declassified in <b>full</b> .	33.	0
34. Enter the number of <b>requested pages</b> that were declassified in <b>part</b> .	34.	0
35. Enter the number of <b>requested pages</b> that were <b>denied</b> declassification.	35.	0
36. Total number of <b>requested pages</b> acted on. (Sum of blocks 33, 34, & 35)	36.	0
37. Enter the number of <b>appealed pages</b> that were declassified in <b>full</b> .	37.	0
38. Enter the number of <b>appealed pages</b> that were declassified in <b>part</b> .	38.	0
39. Enter the number of <b>appealed pages</b> that were <b>denied</b> declassification.	39.	0
40. Total number of <b>appealed pages</b> acted on. (Sum of blocks 37, 38, & 39)	40.	0
<b>PART G: Automatic, Systematic, and Discretionary Declassification Reviews</b>		
41. Enter the number of pages <b>reviewed</b> that were subject to <b>automatic declassification</b> under section 3.3 of E.O. 13526.	41.	0
42. Enter the number of pages <b>declassified</b> under <b>automatic declassification</b> .	42.	0
43. Enter the number of pages <b>reviewed</b> that were subject to <b>systematic declassification</b> under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages <b>declassified</b> under <b>systematic declassification</b> .	44.	0
45. Enter the number of pages <b>reviewed</b> that were subject to <b>discretionary declassification</b> under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages <b>declassified</b> under <b>discretionary declassification</b> .	46.	0
<b>PART H: Internal Agency Oversight</b>		
47. Enter the number of <b>challenges</b> processed by your agency to the classification of information believed to be <b>improperly classified or unclassified</b> . (E.O. 13526, section 1.8)	47.	2
48. Enter the number of <b>challenges</b> where the classification status was <b>fully affirmed</b> .	48.	0
49. Enter the number of <b>challenges</b> where the classification status was <b>overturned in whole or in part</b> .	49.	0

**PART I: Explanatory Comments**

*Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.*

**Part A, Item 3:**

Michael R. Johnson, Deputy Executive Director for Reactor and Preparedness Programs  
 United States Nuclear Regulatory Commission  
 11555 Rockville Pike, Mail Stop OWFN 16-E15, Rockville, Maryland 20852  
 Office: (301) 415-1713; Fax: (301) 415-2700  
 E-mail: Michael.Johnson@nrc.gov

**Part A, Item 4:**

Darryl H. Parsons, Chief, Information Security Branch, Division of Security Operations, Office of Nuclear Security and Incident Response  
 11555 Rockville Pike, Mail Stop OWFN 02-D15, Rockville, Maryland 20852  
 Office: (301) 415-7751; Fax: (301) 415-2190  
 E-mail: Darryl.Parsons@nrc.gov

**Part B:** All four NRC Commissioners are delegated Top Secret original classification authority from the Chairman. Currently, one of the Commissioner positions is vacant. At which time an individual is appointed to occupy this position, then that individual will be delegated Top Secret original classification authority from the Chairman.

**Part D:** The increase in derivative classification decisions from FY2014 to FY2015 can be attributed to more accurate estimates of derivative classification decisions made on classified e-mail content.

**Part G:** Comprehensive declassification reviews were not conducted in FY2015 due to resource constraints. Increased declassification reviews will be conducted in FY2016, pending the availability of resources.

***For ISOO Use Only***

ISOO Analyst Name: \_\_\_\_\_

Date of QC: \_\_\_\_\_

Analyst Initials: \_\_\_\_\_

## AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

<b>PART A: Identifying Information</b>	
1. Enter the <b>Fiscal Year</b> that this report covers.	1. 2016
2. Identify the <b>Department, Independent agency, or Establishment</b> that is covered by this report.	2. United States Nuclear Regulatory Commission
3. Enter the name and title of the <b>Senior Agency Official</b> (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Michael R. Johnson, Deputy Executive Director for Reactor and Preparedness Programs
4. <b>Point-of-contact</b> responsible for answering questions about this report:	
4a. Name:	4a. Darryl H. Parsons
4b. Title:	4b. Chief, Information Security Branch, Division of Security Operations, Office of Nuclear Security and Incident Response
4c. Email address:	4c. Darryl.Parsons@nrc.gov
4d. Phone number:	4d. (301) 415-7751
<b>PART B: Officials with Original Classification Authority</b>	
5. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>TOP SECRET</b> .	5. 7
6. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>SECRET</b> .	6. 4
7. Enter the number of agency officials whose <b>highest level</b> of original classification authority is <b>CONFIDENTIAL</b> .	7. 0
8. <b>Total</b> number of officials with original classification authority. (Sum of blocks 5, 6, and 7)	8. 11
<b>PART C: Original Classification Decisions</b>	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)	
9. Enter the number of <b>original TOP SECRET</b> classification decisions made during the reporting period <b>with declassification instructions of 10 years or less</b> .	9. 0
10. Enter the number of <b>original TOP SECRET</b> classification decisions made during the reporting period <b>with declassification instructions ranging from over 10 years to 25 years</b> .	10. 0
11. <b>Total</b> number of <b>TOP SECRET</b> original classification decisions. (Sum of blocks 9 and 10)	11. 0
12. Enter the number of <b>original SECRET</b> classification decisions made during the reporting period <b>with declassification instructions of 10 years or less</b> .	12. 0
13. Enter the number of <b>original SECRET</b> classification decisions made during the reporting period <b>with declassification instructions ranging from over 10 years to 25 years</b> .	13. 8
14. <b>Total</b> number of <b>SECRET</b> original classification decisions. (Sum of blocks 12 and 13)	14. 8
15. Enter the number of <b>original CONFIDENTIAL</b> classification decisions made during the reporting period <b>with declassification instructions of 10 years or less</b> .	15. 0
16. Enter the number of <b>original CONFIDENTIAL</b> classification decisions made during the reporting period <b>with declassification instructions ranging from over 10 years to 25 years</b> .	16. 0
17. <b>Total</b> number of <b>CONFIDENTIAL</b> original classification decisions. (Sum of blocks 15 and 16)	17. 0
18. <b>Total</b> number of original classification decisions. (Sum of blocks 11, 14, and 17)	18. 8

<b>PART D: Derivative Classification Decisions</b>	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)	
19. Enter the number of <b>derivative TOP SECRET</b> classification decisions made during the reporting period.	19. 1,120
20. Enter the number of <b>derivative SECRET</b> classification decisions made during the reporting period.	20. 4,791
21. Enter the number of <b>derivative CONFIDENTIAL</b> classification decisions made during the reporting period.	21. 1,333
22. <b>Total</b> number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22. 7,244
<b>PART E: Mandatory Declassification Review Requests</b>	
A "Request" is an individual initial review request, regardless of the number of documents or pages to be reviewed as part of the request. <b>Report only requests for your agency in which your agency is responsible for the final decision.</b>	
23. Enter the number of <b>REQUESTS received</b> during the reporting period.	23. 3
24. Enter the number of <b>REQUESTS closed</b> during the reporting period.	24. 1
25. <b>Of the REQUESTS entered into block 24, enter the number of pages that were:</b>	25.
a. Declassified <b>in full</b> .	25a. 0
b. Declassified <b>in part</b> .	25b. 0
c. <b>Denied</b> declassification.	25c. 77
d. <b>Total</b> number of requested pages acted on. (Sum of blocks a, b, and c)	25d. 77
26. Enter the number of <b>REQUESTS</b> that have been unresolved for over one year.	26. 0
27. Enter the <b>AVERAGE NUMBER OF DAYS</b> to resolve each request.	27. 180
28. Enter the number of <b>REFERRED REQUESTS</b> received during the reporting period. (Number of requests referred to your agency from another agency)	28. 1
<b>PART F: Mandatory Declassification Review Appeals</b>	
An "Appeal" is an individual request for appeal, regardless of the number of documents or pages to be reviewed as part of the request. <b>Report only appeals for your agency in which your agency is responsible for the final decision.</b>	
29. Enter the number of <b>APPEALS received</b> during the reporting period.	29. 0
30. Enter the number of <b>APPEALS closed</b> during the reporting period.	30. 0
31. <b>Of the APPEALS entered into block 30, enter the number of pages that were:</b>	31.
a. Declassified <b>in full</b> .	31a. 0
b. Declassified <b>in part</b> .	31b. 0
c. <b>Denied</b> declassification.	31c. 0
d. <b>Total</b> number of appealed pages acted on. (Sum of blocks a, b, and c)	31d. 0
32. Enter the number of <b>APPEALS</b> that have been unresolved for over one year.	32. 0
33. Enter the <b>AVERAGE NUMBER OF DAYS</b> to resolve each appeal.	33. 0
34. Enter the number of <b>REFERRED APPEALS</b> received during the reporting period. (Number of appeals referred to your agency from another agency)	34. 0

<b>PART G: Automatic, Systematic, and Discretionary Declassification Reviews</b>	
35. Enter the number of pages <b>REVIEWED</b> that were subject to <b>automatic declassification</b> under section 3.3 of E.O. 13526.	35. 520
36. Enter the number of pages <b>DECLASSIFIED</b> under <b>automatic declassification</b> .	36. 0
37. Enter the number of pages <b>REVIEWED</b> that were subject to <b>systematic declassification</b> under section 3.4 of E.O. 13526.	37. 740
38. Enter the number of pages <b>DECLASSIFIED</b> under <b>systematic declassification</b> .	38. 0
39. Enter the number of pages <b>REVIEWED</b> that were subject to <b>discretionary declassification</b> under section 3.1 of E.O. 13526.	39. 10
40. Enter the number of pages <b>DECLASSIFIED</b> under <b>discretionary declassification</b> .	40. 10
<b>PART H: Internal Agency Oversight</b>	
41. Enter the number of <b>CHALLENGES</b> processed by your agency to the classification of information believed to be <b>improperly classified or unclassified</b> . (E.O. 13526, section 1.8)	41. 0
42. Enter the number of <b>CHALLENGES</b> where the classification status was <b>fully affirmed</b> .	42. 0
43. Enter the number of <b>CHALLENGES</b> where the classification status was <b>overturned in whole or in part</b> .	43. 0
<b>PART I: Intelligence Community (IC) Information</b>	
This section applies only to IC agencies and IC components of other agencies who <i>originally apply</i> the ORCON and ORCON-USGOV dissemination control. This information, along with ISOO's annual inspection reports will be used to inform the annual report to the DNI on the use of classification markings.	
<b>Organization's classified disseminated analytic product (as defined in ICD 206 Sourcing Requirements for Disseminated Analytic Product) originally marked ORCON.</b>	
44. Enter <b>PERCENTAGE</b> of your organization's classified disseminated analytic products originally marked <b>ORCON</b> .	44. 0.00%
45. Enter <b>TOTAL NUMBER</b> of your organization's classified disseminated analytic products originally marked <b>ORCON</b> .	45.
46. Enter the <b>NUMBER OF REQUESTS</b> approved for expanded dissemination of ORCON <b>in full</b> .	46.
47. Enter the <b>NUMBER OF REQUESTS</b> approved for expanded dissemination of ORCON <b>in part</b> . Provide explanation for partial denial in part J below.	47.
48. Enter the number of ORCON expanded dissemination requests <b>DENIED</b> . Provide explanation for denial in part J below.	48.
<b>Organization's classified disseminated analytic product (as defined in ICD 206 Sourcing Requirements for Disseminated Analytic Product) originally marked ORCON-USGOV.</b>	
49. Enter <b>PERCENTAGE</b> of your organization's analytic products originally marked <b>ORCON-USGOV</b> .	49. 0.00%
50. Enter <b>TOTAL NUMBER</b> of your organization's analytic products originally marked <b>ORCON-USGOV</b> .	50.
51. Provide <b>TOTAL NUMBER</b> of reports of <b>potential misapplication</b> of the ORCON marking. Provide background information on each occurrence in Part J below.	51.
52. Has your organization been <b>NEGATIVELY IMPACTED</b> by information being marked with ORCON or ORCON-USGOV control marking? If yes, provide explanation in Part J below.	52. N/A

**PART J: Explanatory Comments**

*Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.*

Part B: All four NRC Commissioners are delegated Top Secret original classification authority from the Chairman. Currently, two of the Commissioner positions are vacant. At which time individuals are appointed to occupy this position, then those individuals will be delegated Top Secret original classification authority from the Chairman.

Part C: The increase in original classification decisions from fiscal year (FY) 2015 to FY 2016 can be attributed to the issuance of new and revised classification guidance. Specifically, the following classification guides were issues in FY 2016:

- CG-NRC-CI-1, "Classification Guide for the United States Nuclear Regulatory Commission Counterintelligence Program"
- CG-NRC-ITP-1, "Classification and Designation Guide for the United States Nuclear Regulatory Commission Insider Threat Program"
- CG-NRC-TSCM-3, "Classification Guide for the United States Nuclear Regulatory Commission Technical Surveillance Countermeasures [TSCM] Program"
- CG-RDD-2, "Joint DOE/DHS/NRC Classification Guide for Radiological Dispersal Devices and Radiation Exposure Devices," which supersedes CG-RDD-1, "Joint DOE/DHS/NRC Classification Guide for Radiological Dispersal Devices and Radiation Exposure Devices"
- CG-UUSA-1, "NRC Classification Guide for Louisiana Energy Services doing business as URENCO USA Gas Centrifuge Safeguards & Security"
- CG-UUSA-1, Change 1 "NRC Classification Guide for Louisiana Energy Services doing business as URENCO USA Gas Centrifuge Safeguards & Security"
- CG-UUSA-1, Change 2 "NRC Classification Guide for Louisiana Energy Services doing business as URENCO USA Gas Centrifuge Safeguards & Security"
- CB-DBT-1, "Classification Guidance for the U.S. Nuclear Regulatory Commission Theft or Diversion Design Basis Threat for Category I Fuel Cycle Facilities"

Part D: The changes in derivative classification decisions from FY 2015 to FY 2016 can be attributed to more accurate estimates of derivative classification decisions made on classified e-mail content.

October 27, 2017

Mr. Mark A. Bradley, Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW, Room 100  
Washington, DC 20408-0001

Dear Mr. Bradley:

In response to your August 15, 2017, request, enclosed is the U.S. Nuclear Regulatory Commission's (NRC) fiscal year 2017 Standard Form 311, "Agency Security Classification Management Program Data." This report provides a compilation of actions taken in support of the NRC classified information security program.

Please note that the calculation of the data relied on an actual count of hard-copy classification decisions and an estimate of electronic classification decisions as requested in the Information Security Oversight Office guidance (Guidance for FY 2017 SF 311 Data Collection).

If you have any questions, please contact Darryl Parsons, Chief of the Information Security Branch, Division of Security Operations, Office of Nuclear Security and Incident Response at (301) 415-7751 or [Darryl.Parsons@nrc.gov](mailto:Darryl.Parsons@nrc.gov).

Sincerely,

*/RA/*

Michael R. Johnson  
Deputy Executive Director for Reactor  
and Preparedness Programs  
Office of the Executive Director for Operations

Enclosure:  
Agency Security Classification  
Management Program Data



SUBJECT: U. S. NUCLEAR REGULATORY COMMISSION'S FISCAL YEAR 2017  
STANDARD FORM 311, "AGENCY SECURITY CLASSIFICATION  
MANAGEMENT PROGRAM DATA" DATED: October 27, 2017

**DISTRIBUTION:** NSIR-17-0255 / OEDO-17-00585

RidsNsirMailCenter Resource

RidsEdoMailCenter Resource

JTaylor, ISOO

KZiebell, NSIR

JKEverly, NSIR

**ADAMS ACCESSION NO.: ML17272A384    \*via email    Package: ML17272A383**

<b>OFFICE</b>	NSIR/DSO/ISB*	NSIR/DSO/ISB	NSIR/DSO: D
<b>NAME</b>	K. Ziebell	D. Parsons	M. Bailey (Drew Pretzello for)
<b>DATE</b>	09/ 29 /17	10 / 2 /17	10 / 3 /17
<b>OFFICE</b>	OGC *	NSIR: D	OEDO: DEDR
<b>NAME</b>	N. Noelliest-Cadet	S. West	M. Johnson
<b>DATE</b>	10 / 06 /17	10 / 19 /17	10 / 27 /17

**OFFICIAL RECORD COPY**

# AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the Fiscal Year that this report covers.		1. 2017
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2. NRC: Nuclear Regulatory Commission	
3. Enter the name and title of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Michael R. Johnson, Deputy Executive Director for Reactor and Preparedness Programs	
4. Point-of-contact responsible for answering questions about this report:		
4a. Name:	4a. Darryl H. Parsons	
4b. Title:	4b. Chief, Information Security Branch, Division of Security Operations, Office of Nuclear Security and Incident Response	
4c. Email address:	4c. Darryl.Parsons@nrc.gov	
4d. Phone number:	4d. (301) 415-7751	
PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5.	7
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6.	4
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, and 7)	8.	11
PART C: Original Classification Decisions		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9.	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10.	0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11.	0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12.	0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13.	0
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14.	0
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15.	0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16.	0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18.	0

NRC: Nuclear Regulatory Commission

<b>PART D: Derivative Classification Decisions</b>		
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
19. Enter the number of <b>derivative TOP SECRET</b> classification decisions made during the reporting period.	19.	586
20. Enter the number of <b>derivative SECRET</b> classification decisions made during the reporting period.	20.	631
21. Enter the number of <b>derivative CONFIDENTIAL</b> classification decisions made during the reporting period.	21.	12
22. <b>Total</b> number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22.	1,229
<b>PART E: Mandatory Declassification Review Requests</b>		
A "Request" is an individual initial review request, regardless of the number of documents or pages to be reviewed as part of the request. <b>Report only requests for your agency in which your agency is responsible for the final decision.</b>		
23. Enter the number of <b>REQUESTS received</b> during the reporting period.	23.	2
24. Enter the number of <b>REQUESTS closed</b> during the reporting period.	24.	2
25. <b>Of the REQUESTS entered into block 24, enter the number of pages that were:</b>	25.	
a. Declassified in full.	25a.	0
b. Declassified in part.	25b.	0
c. <b>Denied</b> declassification.	25c.	472
d. <b>Total</b> number of requested pages acted on. (Sum of blocks a, b, and c)	25d.	472
26. Enter the number of <b>REQUESTS</b> that have been unresolved for over one year.	26.	0
27. Enter the <b>AVERAGE NUMBER OF DAYS</b> to resolve each request.	27.	365
28. Enter the number of <b>REFERRED REQUESTS</b> received during the reporting period. (Number of requests referred to your agency from another agency)	28.	0
<b>PART F: Mandatory Declassification Review Appeals</b>		
An "Appeal" is an individual request for appeal, regardless of the number of documents or pages to be reviewed as part of the request. <b>Report only appeals for your agency in which your agency is responsible for the final decision.</b>		
29. Enter the number of <b>APPEALS received</b> during the reporting period.	29.	1
30. Enter the number of <b>APPEALS closed</b> during the reporting period.	30.	1
31. <b>Of the APPEALS entered into block 30, enter the number of pages that were:</b>	31.	
a. Declassified in full.	31a.	53
b. Declassified in part.	31b.	2
c. <b>Denied</b> declassification.	31c.	0
d. <b>Total</b> number of appealed pages acted on. (Sum of blocks a, b, and c)	31d.	55
32. Enter the number of <b>APPEALS</b> that have been unresolved for over one year.	32.	0
33. Enter the <b>AVERAGE NUMBER OF DAYS</b> to resolve each appeal.	33.	187
34. Enter the number of <b>REFERRED APPEALS</b> received during the reporting period. (Number of appeals referred to your agency from another agency)	34.	0

NRC: Nuclear Regulatory Commission

<b>PART G: Automatic, Systematic, and Discretionary Declassification Reviews</b>		
35. Enter the number of pages <b>REVIEWED</b> that were subject to <b>automatic declassification</b> under section 3.3 of E.O. 13526.	35.	0
36. Enter the number of pages <b>DECLASSIFIED</b> under <b>automatic declassification</b> .	36.	0
37. Enter the number of pages <b>REVIEWED</b> that were subject to <b>systematic declassification</b> under section 3.4 of E.O. 13526.	37.	0
38. Enter the number of pages <b>DECLASSIFIED</b> under <b>systematic declassification</b> .	38.	0
39. Enter the number of pages <b>REVIEWED</b> that were subject to <b>discretionary declassification</b> under section 3.1 of E.O. 13526.	39.	19
40. Enter the number of pages <b>DECLASSIFIED</b> under <b>discretionary declassification</b> .	40.	19
<b>PART H: Internal Agency Oversight</b>		
41. Enter the number of <b>CHALLENGES</b> processed by your agency to the classification of information believed to be <b>improperly classified or unclassified</b> . (E.O. 13526, section 1.8)	41.	0
42. Enter the number of <b>CHALLENGES</b> where the classification status was <b>fully affirmed</b> .	42.	0
43. Enter the number of <b>CHALLENGES</b> where the classification status was <b>overturned in whole or in part</b> .	43.	0
<b>PART I: Intelligence Community (IC) Information</b>		
This section applies only to IC agencies and IC components of other agencies who <i>originally apply</i> the ORCON and ORCON-USGOV dissemination control. This information, along with ISOO's annual inspection reports will be used to inform the annual report to the DNI on the use of classification markings.		
<b>Organization's classified disseminated analytic product (as defined in ICD 206 Sourcing Requirements for Disseminated Analytic Product) originally marked ORCON.</b>		
44. Enter <b>PERCENTAGE</b> of your organization's classified disseminated analytic products originally marked <b>ORCON</b> .	44.	0.00%
45. Enter <b>TOTAL NUMBER</b> of your organization's classified disseminated analytic products originally marked <b>ORCON</b> .	45.	
46. Enter the <b>NUMBER OF REQUESTS</b> approved for expanded dissemination of ORCON <b>in full</b> .	46.	
47. Enter the <b>NUMBER OF REQUESTS</b> approved for expanded dissemination of ORCON <b>in part</b> . Provide explanation for partial denial in part J below.	47.	
48. Enter the number of ORCON expanded dissemination requests <b>DENIED</b> . Provide explanation for denial in part J below.	48.	
<b>Organization's classified disseminated analytic product (as defined in ICD 206 Sourcing Requirements for Disseminated Analytic Product) originally marked ORCON-USGOV.</b>		
49. Enter <b>PERCENTAGE</b> of your organization's analytic products originally marked <b>ORCON-USGOV</b> .	49.	0.00%
50. Enter <b>TOTAL NUMBER</b> of your organization's analytic products originally marked <b>ORCON-USGOV</b> .	50.	
51. Provide <b>TOTAL NUMBER</b> of reports of <b>potential misapplication</b> of the ORCON marking. Provide background information on each occurrence in Part J below.	51.	
52. Has your organization been <b>NEGATIVELY IMPACTED</b> by information being marked with ORCON or ORCON-USGOV control marking? If yes, provide explanation in Part J below.	52.	N/A

**PART J: Explanatory Comments**

*Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.*

Part B: All four NRC Commissioners are delegated Top Secret original classification authority from the Chairman. Currently, two of the Commissioner positions are vacant. At which time individuals are appointed to occupy this position, then those individuals will be delegated Top Secret original classification authority from the Chairman.

Part D: The changes in derivative classification decisions from FY 2016 to FY 2017 can be attributed to more accurate estimates of derivative classification decisions made on classified e-mail content. Unlike prior years, the NRC initiated a data call to all users of classified information technology systems requesting an accurate estimate of classified e-mails generated that meet the guidance provided by the Information Security Oversight Office.

Part G: Comprehensive automatic and systematic declassification reviews were not conducted in FY2017 due to resource constraints. Increased automatic and systematic declassification reviews will be conducted in FY2018, pending the availability of resources.

Part H: While no formal classification challenges were received in FY2017, the NRC received several Classification Issue/Comment Sheets requesting clarification on the application of the agency's classification guidance in an effort to dispel any misunderstanding or alternate interpretations, which may lend themselves to over-classification or under-classification.